

PTO/SB/21 (08-03)

Approved for use through 07/31/2006.	OMB	0651-	-003
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	Application Number	10/708,525
TRANSMITTAL	Filing Date	March 10, 2004
FORM	First Named Inventor	Susumu Noda
(to be used for all correspondence after initial	filing) Art Unit	2874
	Examiner Name	(to be assigned)
Total Number of Pages in This Submission	44 Attorney Docket Number	39.039
	ENCLOSURES (Check all	that apply)
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence A Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks	After Allowance communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information
SIGNA	<u>l</u> Ture of applicant, attor	RNEY, OR AGENT
Firm or Individual name Signature Date June 9, 2004	· · · · · · · · · · · · · · · · · · ·	
I hereby certify that this correspondence is b	eing facsimile transmitted to the USPTC	Or deposited with the United States Postal Service with Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on
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Signature	· · · · · · · · · · · · · · · · · · ·	Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No.

10/708,525

Confirmation No. 2524

Applicant

:

Susumu Noda, et al. March 10, 2004

Filed

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Tech. Cntr./Art Unit

2874

Examiner

(To be assigned)

Docket No.

39.039

Customer No.

29453

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This constitutes an Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. The attached documents listed on the accompanying Form PTO/SB/08A are hereby brought to the Examiner's attention in the patent application identified above.

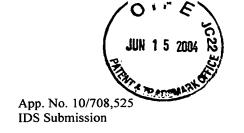
REMARKS

Of the presently submitted references listed on Form PTO/SB/08A, Cite No. F1 is not in English.

Cite No. O1 is mentioned in the Background of the specification for the present application, in paragraph [0005].

Concise Explanation of Relevance/Copy of Translation Pursuant to 37 C.F.R. § 1.98(a)(3)

An English abstract of Cite No. F1 is available on the Japanese Patent Office website through Patent Abstracts of Japan, and the corresponding abstract is attached to the front of this reference.



The Examiner is respectfully requested to consider these references, and to indicate so on the accompanying Form PTO/SB/08A and make the document of record in the present application.

Respectfully submitted,

June 11, 2004

Registration No. 42,701

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitut	e for form 1449A/PT(0	•	Complete if Known				
INFORMATION BIOGLOSUBE				Application Number	10/708.525			
INFORMATION DISCLOSURE			SCLOSURE	Filing Date	March 10, 2004			
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Susumu Noda			
				Art Unit	2874			
((use as many shee	ets as	s necessary)	Examiner Name	(To be assigned)			
Sheet	1	of	2	Attorney Docket Number	39.039			

			U.S. PATI	ENT DOCUMENTS	
Examiner Initials	Cite No.1	Document Number Number - Kind Code ² (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-2002/0009277-A1	01-24-2002	Noda et al.	
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	FOREIGN PATENT DOCUMENTS								
Examiner Cite - Fo	Foreign Patent Document	Publication Date	Publication Date MM-DD-YYYY	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages			
Initials	No.1	Country Code 3 -Number 4 - Kind Code 5 (if known)		Applicant of Cited Document	or Relevant Figures Appear	T6			
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.qcv or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08B (10-01)
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Complete if Known Substitute for form 1449B/PTO 10/708,525 March 10, 2004 **Application Number** INFORMATION DISCLOSURE Filing Date First Named Inventor Susumu Noda STATEMENT BY APPLICANT 2874 Group Art Unit (To be assigned) (use as many sheets as necessary) **Examiner Name** Attorney Docket Number of 39.039 Sheet

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²				
	01	A. Chutinan and S. Noda, "Waveguides and waveguide bends in two-dimensional photonic crystal slabs," Physical Review B, Vol. 62, No. 7, 2000, pp. 4488-4492.					
***************************************	02	O2 S. Noda, et al., "Trapping and emission of photons by a single defect in a photonic bandgap structure," Nature, Vol. 407, 5 October 2000, pp. 608-610.					
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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.